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# **Statistical Overview**

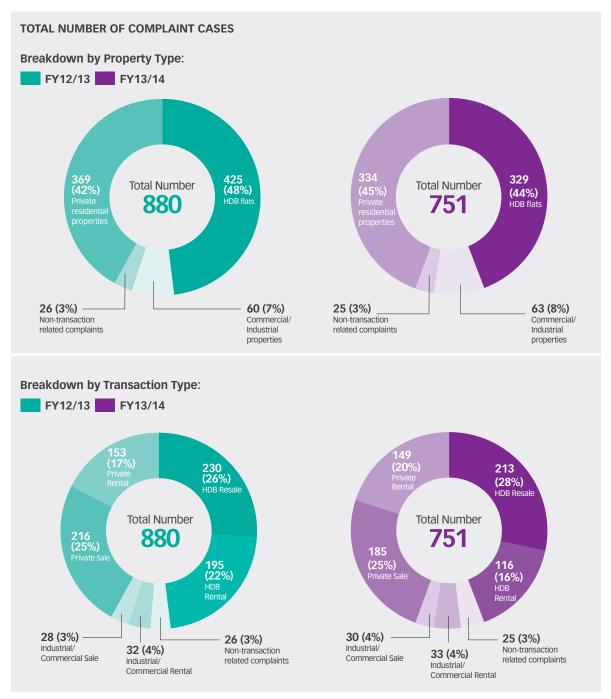
FY2013/14 (1 April 2013 to 31 March 2014)

## **LICENSING & REGISTRATION**





## **COMPLAINT MANAGEMENT**



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# **Statistical Overview**

FY2013/14 (1 April 2013 to 31 March 2014)

# **COMPLAINT MANAGEMENT**

### NATURE OF COMPLAINTS

Nature of Complaints	Number of Complaints	
	FY2012/13	FY2013/14
Advertisement/flyer (e.g. misleading/missing information/improper distribution of flyers)	319 (36%)	318* (42%)
Unprofessional/poor service (e.g. wrong advice/not punctual/ not following proper procedures)	255 (29%)	183 (24%)
Misconduct (e.g. use of threatening words/harassment/misrepresentation)	143 (16%)	129 (17%)
Not acting in client's interest (e.g. conflict of interest/refusing to co-broke/failing to convey offer)	44 (5%)	33 (4%)
Unregistered salesperson/unlicensed estate agent	48 (5%)	36 (5%)
Dual representation	14 (2%)	6 (1%)
Fraud	4 (1%)	1 (<1%)
Moneylending	9 (1%)	12 (2%)
Handling transaction monies	9 (1%)	15 (2%)
Others (e.g. recruitments/seminars)	35 (4%)	18 (2%)
Total	880	751

<sup>\*</sup>includes 182 cases involving advertisements that were handled by estate agents with more than 100 salespersons

# **COMPLAINT MANAGEMENT**

### CATEGORIES OF CONCLUDED COMPLAINTS

Categories Out	Outcome	Number of Complaints	
		FY2012/13	FY2013/14
Resolved by estate agents	Complaints resolved by estate agents	8*	23
Substantiated	Letter of Advice/Warning served on salespersons and estate agents	150	363
	Letter of Advice issued on salespersons by estate agents for less serious advertisement complaints	-	127
	Disciplinary action	7	15
	Prosecution	7	5
Unsubstantiated	Insufficient evidence to substantiate claim	101	92
	Assessed by CEA that there was no wrongdoing by the salesperson	192	119
	Baseless/frivolous complaints	4	4
Referred to other bodies/ Government agencies	Small Claims Tribunals	4	0
	Police (for investigation)	7	9
No further action	After investigation, established that the cases were not under CEA's purview (e.g. landlord and tenant issues)	68	66
Total		548*	823

<sup>\*</sup>adjustments were made to these figures

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# **Statistical Overview**

FY2013/14 (1 April 2013 to 31 March 2014)

## **COMPLAINT MANAGEMENT AND COMPLIANCE CHECKS**

## NUMBER OF PROACTIVE CHECKS & COMPLIANCE ACTIVITIES

Туре	FY2012/13	FY2013/14
Advertisement, media & internet scan	221	77
Field surveillance (e.g. at seminars, property exhibitions, property launches)	42	36
Inspections on estate agents	9	22

### ACTIONS TAKEN (INCLUSIVE OF COMPLAINT CASES AND COMPLIANCE CHECKS)

Actions Taken	Number of Cases		
Actions taken	FY2012/13	FY2013/14	
Letter of Advice (LOA)/Warning	287 LOAs issued to estate agents/ salespersons (arising from 176 cases)	644 LOAs issued to estate agents/ salespersons (arising from 458 cases)	
Court prosecution	7 cases (4 concluded)	19 cases (13 concluded)	
Disciplinary action	7 cases (4 concluded)	17 cases (7 concluded)	
Composition Fines	6 cases (3 concluded)	32 cases (28 concluded)	

### **DISPUTE RESOLUTION: MEDIATION AND ARBITRATION CASES**

In FY2013, 18 cases had gone to mediation under the Dispute Resolution Scheme. The mediation cases were held at all three Approved Mediation Centres.

Approved Mediation Centres	FY2012/13	FY2013/14
Consumers Association of Singapore	26	12
Singapore Institute of Surveyors & Valuers	5	5
Singapore Mediation Centre	1	1

Approved Arbitration Centres	FY2012/13	FY2013/14
Singapore Institute of Surveyors & Valuers	3	0

The other approved centre is Singapore Institute of Arbitrators.

## **INDUSTRY DEVELOPMENT**

## NUMBER OF INDUSTRY ENGAGEMENT ACTIVITIES

Platforms	Number of Activities	
	FY2012/13	FY2013/14
KEO briefings	5	12
Salespersons focus group discussions	_	2

### NUMBER OF CORE CPD COURSES APPROVED BY CEA

